

## § 11. Chern-Simons functionals and Ray-Singer torsion

Let  $M$  be a compact oriented 3-manifold without boundary. Set  $G = \text{SU}(2)$

→ consider principal  $G$  bundle  $P$  over  $M$

$\mathcal{A}$  = space of connections

$$\cong \Omega(M, \mathfrak{g})$$

CS :  $\mathcal{A} \rightarrow \mathbb{R}$  is defined by

$$\text{CS}(A) = \frac{1}{8\pi^2} \int_M \text{Tr} \left( A \wedge dA + \frac{2}{3} A \wedge A \wedge A \right), A \in \mathcal{A}$$

We have for  $g \in \mathcal{G} = \text{Map}(M, G)$ :

$$g^*A = g^{-1}Ag + g^{-1}dg$$

$$\text{and } \text{CS}(g^*A) = \text{CS}(A) + n, \quad n \in \mathbb{Z}$$

Note that

$$g^*A = A + t \underbrace{(df + [A, f])}_{=: d_A f} + O(t^2), \quad A \in \mathcal{A}$$

Let  $\alpha \in \mathcal{A}$  be a flat connection  
and denote by  $\Omega^j_\alpha$  the space  
of  $j$ -forms on  $M$  with values in  $\mathfrak{g}_\alpha$

→ de Rham complex:

$$0 \rightarrow \Omega_x^0 \rightarrow \Omega_x^1 \rightarrow \Omega_x^2 \rightarrow \Omega_x^3 \rightarrow 0$$

with differential  $d_x$ . ( $d_x \circ d_x = 0$  follows from flatness)

In the following, we assume:  $H^*(M, g_x) = 0$

Asymptotic expansions:

recall:  $\int_{-\infty}^{\infty} e^{-\mu x^2} dx = \sqrt{\frac{\pi}{\mu}}$ ,  $\mu > 0$

By analytic continuation:

$$\int_{-\infty}^{\infty} e^{i\lambda x^2} dx = \sqrt{\frac{\pi}{|\lambda|}} e^{\frac{\pi i}{4} \frac{\lambda}{|\lambda|}}, \lambda \in \mathbb{R}, \lambda \neq 0 \quad (*)$$

Let  $Q$  be a non-degenerate quadratic form in  $x_1, \dots, x_n$  and denote by  $\text{sgn } Q$  its signature. Then

$$\int_{\mathbb{R}^n} e^{iQ(x_1, \dots, x_n)} dx_1 \dots dx_n = \frac{\pi^{n/2}}{\sqrt{|\det Q|}} e^{\frac{\pi i}{4} \text{sgn } Q}$$

Consider now the asymptotics of the integral

$$\int_{\mathbb{R}^n} e^{ikf(x_1, \dots, x_n)} dx_1 \dots dx_n$$

as  $k \rightarrow \infty$ . Let's deal with one variable first:

$$g(k) = \int_{-\infty}^{\infty} e^{ikf(x)} dx, \quad k \rightarrow \infty$$

"Stationary phase method":

major contribution to above integral arises from critical point of  $f$ :

$$g(k) \sim \int_{x_0-\varepsilon}^{x_0+\varepsilon} e^{ikf(x)} dx = \int_{u_1}^{u_2} e^{ik(f(x_0)+u^2)} \frac{2u}{f'(x)} du$$

where  $u^2 = f(x) - f(x_0)$

$$\left[ \frac{du}{dx} = \frac{1}{2} \frac{f'(x)}{u} \right.$$

By using

$$\begin{aligned} \lim_{x \rightarrow x_0} \frac{2u}{f'(x)} &= \lim_{x \rightarrow x_0} \frac{2(f(x) - f(x_0))^{1/2}}{f'(x)} \\ &= \lim_{x \rightarrow x_0} 2 \frac{[(f(x) - f(x_0))^{1/2}]'}{f''(x)} \\ &= \lim_{x \rightarrow x_0} 2 \cdot \frac{1}{2} \frac{f'(x)}{(f(x) - f(x_0))^{1/2}} \cdot \frac{1}{f''(x)} \end{aligned}$$

$$\Leftrightarrow \left( \lim_{x \rightarrow x_0} \frac{2u}{f'(x)} \right)^2 = 2 \lim_{x \rightarrow x_0} \frac{1}{f''(x)} = \frac{2}{f''(x_0)}$$

$$\Rightarrow \lim_{x \rightarrow x_0} \frac{2u}{f'(x)} = \left( \frac{2}{f''(x_0)} \right)^{1/2}$$

we obtain

$$g(k) \sim \left( \frac{2}{f''(x_0)} \right)^{1/2} \int_{-\infty}^{\infty} e^{iku^2 + ikf(x_0)} du$$

$$\stackrel{(*)}{=} \left( \frac{2\pi}{kf''(x_0)} \right)^{1/2} e^{ikf(x_0) + \frac{\pi i}{4}} \quad \text{for } k \rightarrow \infty$$

Similarly, we obtain

$$\int_{\mathbb{R}^n} e^{ikf(x_1, \dots, x_n)} dx_1 \dots dx_n \\ \sim_{k \rightarrow \infty} \sum_{\alpha} \frac{\pi^{n/2} e^{ikf(\alpha)}}{k^{n/2} \sqrt{|\det H(f, \alpha)|}} e^{\frac{\pi i}{4} \operatorname{sgn} H(f, \alpha)}$$

where the sum is now over all critical points  $\alpha$  of  $f$  and  $H(f, \alpha)$  is the Hessian of  $f$  at  $\alpha$ .

Let us now go back to the Chern-Simons functional

→ critical points = flat connections

Denote by  $(\Omega_{\alpha}^*, d_{\alpha})$  the de Rham complex associated with  $\alpha$  a flat connection. We have

$$T_{\alpha} \cong \Omega^1(M, \mathfrak{g})$$

with inner product

$$\langle A, B \rangle = -\frac{1}{8\pi^2} \int_M \text{Tr}(A \wedge *B)$$

→ obtain orthogonal decomposition with respect to  $\langle, \rangle$ :

$$T_\alpha \mathcal{A} = \text{Im } d_\alpha \oplus \text{Ker } d_\alpha^*$$

Here  $d_\alpha: \Omega_\alpha^0 \rightarrow \Omega_\alpha^1$ ,  $d_\alpha^*: \Omega_\alpha^1 \rightarrow \Omega_\alpha^0$  adjoint operator

Write  $C = A + \underbrace{d_\alpha B}_{\neq 0} \in T_\alpha \mathcal{A}$

$$\text{Then } 0 = \langle A, \underbrace{d_\alpha^2 B}_{=0} \rangle = \langle d_\alpha^* A, B \rangle$$

as  $B$  arbitrary  $\rightarrow A \in \text{Ker } d_\alpha^*$

The subspace  $\text{Im } d_\alpha \subset T_\alpha \mathcal{A}$  is the "gauge orbit"  $\mathcal{G}_\alpha$  at  $\alpha$ .

Let us compute the Hessian of CS at  $\alpha$ :

$$\text{Set } \alpha_t = \alpha + t\beta$$

$$\rightarrow CS(\alpha_t) = CS(\alpha) + \frac{t^2}{8\pi^2} \int_M \text{Tr}(\beta \wedge d_\alpha \beta) + \mathcal{O}(t^3)$$

$$\rightarrow \text{Hessian: } Q(\beta) = \langle \beta, *d_\alpha \beta \rangle$$

As CS is inv. under inf. gauge tfs.,  
 $Q$  degenerates on  $\mathcal{G}_\alpha$ .

→ non-degenerate on  $\Omega'_\alpha/d_\alpha\Omega^\circ_\alpha$

Computation of  $\det Q$ :

consider operator

$$P = \varepsilon(d_\alpha^* + *d_\alpha)$$

acting on

$$\Omega'_\alpha \oplus \Omega_\alpha^3 \cong \Omega'_\alpha \oplus \Omega^\circ_\alpha \quad (\text{Poincaré duality})$$

$$\text{where } \varepsilon|_{\Omega^\circ_\alpha} = 1 \quad \varepsilon|_{\Omega'_\alpha} = -1$$

The action of  $P$  on the direct sum

$$\Omega^\circ_\alpha \oplus \text{Im}d_\alpha \oplus \text{Ker}d_\alpha^*$$

is given by

$$P = \begin{pmatrix} 0 & -d_\alpha^* & 0 \\ -d_\alpha & 0 & 0 \\ 0 & 0 & Q \end{pmatrix}, \quad Q = -*d_\alpha$$

and we have  $P^2 = \Delta^\circ_\alpha \oplus \Delta^1_\alpha$

$$\text{Here } \Delta^j_\alpha = d_\alpha^* d_\alpha + d_\alpha d_\alpha^* \text{ for } j=0,1.$$

"regularized determinant" of Laplace-op.  $\Delta$ :

Let  $\lambda_1 \leq \lambda_2 \leq \dots \leq \lambda_j \leq \dots$  be positive eigenvalues of  $\Delta$  counted with multiplicities

→ define "spectral zeta function":

$$\zeta_{\Delta}(s) = \sum_{j=1}^{\infty} \frac{1}{\lambda_j^s}$$

→ analytic continuation gives meromorphic function on  $\mathbb{C}$  with definite values  $\zeta_{\Delta}(0)$  and  $\zeta'_{\Delta}(0)$

$$\text{set } \det \Delta = \exp(-\zeta'_{\Delta}(0))$$

$$\begin{aligned} \zeta'_{\Delta}(s) &= \frac{d}{ds} \sum_{j=1}^{\infty} \exp(-\log \lambda_j s) \\ &= \sum_{j=1}^{\infty} -\log \lambda_j \exp(-\log \lambda_j s) \end{aligned}$$

$$\rightarrow \exp(-\zeta'_{\Delta}(0)) = \prod_{j=1}^{\infty} e^{\log \lambda_j} = \prod_{j=1}^{\infty} \lambda_j$$

It was shown by Ray and Singer that for a flat connection  $\alpha$ , that

$$T_\alpha(M) = \frac{(\det \Delta_\alpha^0)^{3/2}}{(\det \Delta_\alpha^1)^{1/2}}$$

is a topological invariant of  $M$

→ "Ray-Singer torsion"

Proposition 1:

The regularized determinant of Hessian  $Q$  of CS-functional at flat con.  $\alpha$  is

$$\frac{\sqrt{\det d_\alpha^* d_\alpha}}{\sqrt{|\det Q|}} = T_\alpha^{1/2}$$